



بارجيل جيوجيت للخدمات المالية ش.ذ.م.م
BARJEEL GEOJIT FINANCIAL SERVICES L.L.C

APPLICATION FORM

**Barjeel Geojit India Opportunities Fund(BGIOF)
Individual**

Application Form

Barjeel Geojit India Opportunities Fund(BGIOF)

Application Number

Client ID Number

Please complete the application form in full using BLOCK letters, and sign it at the places indicated.

Please return the completed form to **Barjeel Geojit Financial Services LLC, The Business Center Building, Suite No 308-309, 3rd Floor, Khalid Bin Al Waleed Rd, Bur Dubai, Dubai, U.A.E, P.O. Box - 32313**

1 REGISTRATION DETAILS Fields marked with an asterisk (*) are mandatory.

1A - INVESTOR DETAILS

☐ 1st Investor ☐ Mr. ☐ Mrs. ☐ Ms.

*First Name(s)

*Middle Name(s)

*Last Name(s)

Address

*Building

*Flat Number

*City/Town

*Emirates/State

*Country

*Post Code/P.O. Box

*Nationality

*Country of Birth

*Date of Birth

*Passport Number

*Passport Expiration Date

*Country of Issue

*National/ Emirates ID Number

*Expiration Date

*Country of Tax Residence

*Tax Identification Number

If tax resident in multiple countries, please provide the additional details below:

*Country of Tax Residence

*Tax Identification Number

*Mobile(With ISD code)

Telephone(With ISD code)

*Email (You will automatically be enrolled to receive e-Documents. By providing your email address you are consenting to receive operational and promotional communications.)

*Profession (If you are a retiree, please indicate your last profession.)

*Please indicate your employment sector by selecting all applicable options in section 5.

*Source of Wealth

Source of Wealth refers to the activities that have generated your overall net worth. Please select all that apply to confirm your source of wealth.

- ☐ Salary ☐ Business Income ☐ Gift ☐ Accumulated Savings
☐ Spouse/Family income ☐ Other(please specify).....

*Source of Funds

Source of Funds refers to the funds/assets that are being used to fund this investment. Please select all that apply to confirm the source of funds.

- ☐ Salary ☐ Business Income ☐ Gift ☐ Accumulated Savings
☐ Spouse/Family income ☐ Other(please specify).....

*Beneficial Owner Declaration:

- ☐ I confirm that I am the beneficial owner of the units being purchased.
☐ I confirm that I am not the beneficial owner of the units being purchased.

If you are the only investor, please now complete section 3 onwards.

1B INVESTOR 2 DETAILS (ONLY COMPLETE THIS SECTION FOR A SECOND INVESTOR. PLEASE ALSO ENSURE YOU COMPLETE SECTION 2 BELOW.)

<input type="checkbox"/> 2 nd Investor	<input type="checkbox"/> Mr.	<input type="checkbox"/> Mrs.	<input type="checkbox"/> Ms.	Client ID Number	<input type="text"/>
*First Name(s)					
<input type="text"/>					
*Middle Name(s)					
<input type="text"/>					
*Last Name(s)					
<input type="text"/>					
*Address *Building				*Flat Number	
<input type="text"/>				<input type="text"/>	
*City/Town			*Emirates/State		
<input type="text"/>			<input type="text"/>		
*Country					
<input type="text"/>					
*Post Code/P.O. Box			*Nationality		
<input type="text"/>			<input type="text"/>		
*Country of Birth				*Date of Birth	
<input type="text"/>				<input type="text"/>	
*Passport Number				*Passport Expiration Date	
<input type="text"/>				<input type="text"/>	
*Country of Issue					
<input type="text"/>					
*National/ Emirates ID Number				*Expiration Date	
<input type="text"/>				<input type="text"/>	
*Country of Tax Residence			*Tax Identification Number		
<input type="text"/>			<input type="text"/>		
*Mobile(With ISD code)			Telephone(With ISD code)		
<input type="text"/>			<input type="text"/>		
*Email (You will automatically be enrolled to receive e-Documents. By providing your email address you are consenting to receive operational and promotional communications.)					
<input type="text"/>					
*Please indicate your employment sector by selecting all applicable options in section 5.					

*Source of Wealth

Source of Wealth refers to the activities that have generated your overall net worth. Please select all that apply to confirm your source of wealth.

- ☐ Salary ☐ Business Income ☐ Gift ☐ Accumulated Savings
☐ Spouse/Family income ☐ Other(please specify).....

*Source of Funds

Source of Funds refers to the funds/assets that are being used to fund this investment. Please select all that apply to confirm the source of funds.

- ☐ Salary ☐ Business Income ☐ Gift ☐ Accumulated Savings
☐ Spouse/Family income ☐ Other(please specify).....

AUTHORISATION

Joint holders: All transaction and instructions will be jointly authorised and signed by both holders ☐

2 CORRESPONDENCE DETAILS

Please enter your preferred Communication Details for all correspondence. This can be left blank if the preferred Communication Details are as same as in sections 1A.

Address

*Building

*Flat Number

*City/Town

*Emirates/State

*Country

*Post Code/P.O. Box

*Mobile(With ISD code)

Telephone(With ISD code)

*Email (You will automatically be enrolled to receive e-Documents. By providing your email address you are consenting to receive operational and promotional communications.)

3 INVESTMENT DETAILS

I/We would like to invest in the following Fund(s)

Fund Class- Class A ☐ Class B ☐

No	Sub-Fund name	ISIN Class-A	ISIN Class-B	Investment Amount
01	BGIOF – ABSL India Frontline Equity Fund			
02	BGIOF – Ashoka White Oak India Opportunities Fund			
03	BGIOF – ASK Indian Entrepreneur Fund			
04	BGIOF – ARYABHATA India Fund			
05	BGIOF – DSP India Equity Fund			
06	BGIOF – Franklin India Fund			
07	BGIOF – Kotak India Growth Fund			
08	BGIOF – Mirae Asset India Mid Cap Equity Fund			
09	BGIOF – Multi partner SICAV TATA India Equity			
10	BGIOF – Nippon India Equity Fund			
Total Investment Amount				

ISC %

Cheque/ Fund Transfer Details (Cheque should be in favour of Barjeel Geojit Financial Services LLC - Client Money Account-BGIOF)

Amount in Figures		Amount in Words	
<input type="text"/>		<input type="text"/>	
Payment by: Cheque <input type="checkbox"/>	Wire transfer <input type="checkbox"/>	Cheque No. <input type="text"/>	Cheque Date <input type="text"/>
Payment from Bank A/c no: <input type="text"/>			
A/c Type: <input type="checkbox"/>	Savings <input type="checkbox"/>	Current <input type="checkbox"/>	Others <input type="checkbox"/>
		Currency - AED <input type="checkbox"/>	USD <input type="checkbox"/>
Bank name	<input type="text"/>		
Branch Address:	<input type="text"/>		
SWIFT copy/Bank statement is required as proof of Fund transfer		<input type="checkbox"/>	<input type="checkbox"/>

4 BANK ACCOUNT DETAILS Fields marked with an asterisk (*) are mandatory.

All subscription monies must be remitted only from a bank account held in the name of the Investor, which shall be the Registered Bank Account. All redemption proceeds will be credited solely to this account, and payments to or receipts from any third party are strictly prohibited.

Account Name*

																											Currency*					
Account Number/IBAN*																											BIC/SWIFT*					

Bank Name*

[illegible]

Branch Address*

[illegible]

5 SIGNATURE AND DECLARATIONS

1. I/We hereby apply for Units in the Fund(s) indicated, subject to the terms and conditions set out in the Barjeel Geojit India Opportunities Fund (BGIOF) Prospectus, the Application Form, and any applicable annexures and supplements, each as may be amended from time to time. I/We confirm that I/We have received, read, and understood these documents, including the relevant Key Information Document(s), particularly the Fund's objectives, investment policies, risk factors, and applicable charges. In making this investment decision, I/We have relied solely on the information contained there in.
2. I/We understand that this application is subject to receipt and acceptance by the Fund Manager.
3. I/We hereby declare and agree that any future application for Units made by me/us shall be submitted and deemed to be made in accordance with the procedures in force at the time of such application.
4. I/We acknowledge and agree that each joint applicant shall be considered a subscriber to the Fund and that all obligations, representations, undertakings, and liabilities of the joint applicants are joint and several. Accordingly, any one of us may submit instructions, including redemption or withdrawal requests, and in the event of any debit balance, loss, or debt owed by the investor to the Fund, each joint applicant shall be liable for the full amount, and not merely a proportionate share.
5. I/We hereby confirm that I/We are aware that the value of the Units may go down as well as up.
6. I/We declare that I/We are aware that the Fund operates a multi-class structure, with each class representing interests in the same portfolio but subject to varying terms, minimums, fees, and access conditions as outlined in the Supplement. I/We understand that certain classes or series may be more appropriate for specific investor profiles.
7. I/We hereby declare that I/We are not U.S. Persons and that the Units are not being applied for, acquired, or held by, or for the account or benefit of, any U.S. Person or any other person restricted from acquiring such Units under applicable laws or the Fund's offering documents. I/We further undertake to immediately notify the Fund and/or its Administrator in writing if any one or more of us becomes a U.S. Person or otherwise ceases to qualify as an Eligible Investor, and acknowledge that the Fund may, at its discretion, require the compulsory redemption of the Units in such circumstances.
8. I / We acknowledge and agree that any personal data provided in connection with this application may be collected, used, processed, stored, and transferred by the Fund and its appointed service providers, including to jurisdictions outside the United Arab Emirates. I / We confirm that such processing and cross-border transfers shall be carried out in accordance with applicable UAE laws and regulations, including the rules and requirements issued by the UAE Securities and Commodities Authority (SCA). By signing this application, I / We expressly consent to the processing and transfer of personal data as described above.

9. I/We are aware of and acknowledge the applicable provisions relating to the prevention of money laundering and terrorism financing. I/We confirm that all subscription monies represent legitimate funds and are not derived, directly or indirectly, from any activity that contravenes applicable anti-money laundering, counter-terrorist financing, or tax laws. I/We further confirm that the source of funds has been accurately disclosed in this Application Form.
10. I/We further acknowledge and agree that each joint applicant is authorized to act on behalf of all joint applicants, and that the Fund, the Fund Manager, and any of their delegates may rely on and act upon any instruction, notice, request, or acknowledgement (including, without limitation, instructions to liquidate, redeem, or withdraw investments) given by any one joint applicant, as fully and effectively as if such instruction had been given by all joint applicants, without any obligation to notify or obtain the consent of the other joint applicants.
11. I/We undertake to advise Barjeel Geojit within 30 days of any change in circumstances that affects the tax residency status of any one or more of us.
12. I/We have read and understood Barjeel's Privacy Policy, including the Data Protection clause, available at www.barjeel.ae.
13. I/We hereby confirm that the information contained in this Application Form is complete and accurate and agree to notify BGIOF of any changes to our personal data as soon as possible. I/We, jointly and severally, agree to indemnify BGIOF, the Fund Manager, or any entity of Barjeel, and their directors, officers, employees, or agents for any damage, loss, or expenses incurred due to any false, misleading, or omitted information.
14. I/We hereby authorize the Fund Manager to disclose to our Relationship Manager (as indicated in the Application Form) any information related to our account, including account statements, and release BGIOF and the Fund Manager from any liability arising from such disclosure.
15. I/We acknowledge and confirm that no representations, warranties, or covenants have been made to me/us by Barjeel Geojit Financial Services LLC or any of its representatives or agents, other than those contained in the Prospectus and the Supplement.
16. I/We acknowledge that this Application Form and all transactions relating to Units of BGIOF are governed by and construed in accordance with the laws and regulations of the Securities and Commodities Authority (SCA), UAE.
17. I/We acknowledge and understand that I/We may be liable for exit load charges if Units are redeemed before the prescribed time limit as specified in the Fund's offering document.

I/We agree to receive information on Barjeel products.

☐

(Please tick here if you do not wish to receive such information)

18. I declare that I am employed in at least one of the following sectors:

Investor			Investor		
1	2		1	2	
<input type="checkbox"/>	<input type="checkbox"/>	Money changer, transmitter / pawn shop	<input type="checkbox"/>	<input type="checkbox"/>	Oil, petroleum, gas
<input type="checkbox"/>	<input type="checkbox"/>	Casinos, gaming establishments / card clubs	<input type="checkbox"/>	<input type="checkbox"/>	Nuclear sector
<input type="checkbox"/>	<input type="checkbox"/>	Real estate brokerage	<input type="checkbox"/>	<input type="checkbox"/>	Defense
<input type="checkbox"/>	<input type="checkbox"/>	Online crypto asset / fintech services	<input type="checkbox"/>	<input type="checkbox"/>	Art, antique dealership / auction house
<input type="checkbox"/>	<input type="checkbox"/>	Jewels (i.e. diamonds), precious metal dealership	<input type="checkbox"/>	<input type="checkbox"/>	Mining
<input type="checkbox"/>	<input type="checkbox"/>	Travel agency	<input type="checkbox"/>	<input type="checkbox"/>	Car, boat, airplane dealership
<input type="checkbox"/>	<input type="checkbox"/>	Civil construction	<input type="checkbox"/>	<input type="checkbox"/>	Charities / foundations / endowments
<input type="checkbox"/>	<input type="checkbox"/>	Transport / shipping			

None of the above, please specify below:

Investor 1

Investor 2

All investors must sign

FULL NAME OF THE 1ST INVESTOR (in capitals)

FULL NAME OF THE 2nd INVESTOR (in capitals)

Place-
Date-

Signature of the 1st Investor

Signature of the 2nd Investor

RELATIONSHIP MANAGER'S NAME

RELATIONSHIP MANAGER CODE

DISTRIBUTOR NAME

DISTRIBUTOR CODE

COMPANY SIGNATURE AND STAMP

1. Each Investor has provided proof of identity/ Proof of Address by presenting the appropriate documents.
2. The prospectus, the Key Information Document(s) and a copy of the application form have been given to the Investor(s) as applicable.

Signature of the Relationship Manager

Time Received(HH-MM- AM/PM)

Time Transmitted(HH-MM- AM/PM)

Date-

Date Received (DD/MM/YYYY)

Date Transmitted (DD/MM/YYYY)

Place-

Documents Required

The following documents must be submitted along with this Subscription Form to the Fund Manager at the address provided below.

A. Documents for Individual Investor (First Holder)

1. **Proof of Identity**
 - A valid government-issued identity card (Emirates ID) or passport showing:
 - Photograph
 - Full name
 - Date of birth
 - Nationality
 - Unique identification number
2. **Proof of Address**
 - Recent document issued within the past three months showing residential address, such as:
 - Utility bill
 - Bank statement
 - Rental agreement
3. **Remittance Advice / Proof of Subscription Payment**
 - A copy of the bank remittance advice confirming the transfer of subscription monies for this application.

B. Documents for Joint Holder(s)

1. **Proof of Identity**
 - As per individual investor requirements above.
2. **Proof of Address**
 - As per individual investor requirements above.
3. **Additional Documentation (if applicable)**
 - Any other documents reasonably requested by the Fund Manager to verify identity, address, or eligibility to invest.

C. General Notes

1. **All documents must be clear, legible, and in English.**
 - Documents not in English must be accompanied by a certified English translation.
2. **The Fund Manager reserves the right to request additional information or documentation prior to acceptance of this Subscription Form or the issue of Units.**
3. **Copies of documents are acceptable, provided they are clear and complete.**

Subscription Application Form – Application Notes

These notes are designed to assist you in completing your BGIOF Subscription Application Form and should be read in conjunction with the BGIOF Prospectus, available at www.barjeel.ae. Terms used in this application form and not otherwise defined shall have the same meaning as in the Prospectus.

1. How to Apply

1. Submission of Application

Prospective Investors should complete the subscription application form and submit it, along with all required identification and supporting documents, to Barjeel Geojit Financial Services LLC, at the address indicated in the application form.

Applications may also be submitted electronically if expressly permitted by Barjeel Geojit.

The Fund Manager reserves the right to request the original signed application form and/or supporting documents, in which case processing may be delayed until these are received.

2. Acceptance of Applications

Acceptance of any application is at the sole discretion of the Fund Manager.

Applications received through a distributor will only be processed after forwarding to the Fund Manager or a duly authorised intermediary.

3. Anti-Money Laundering / Counter-Terrorist Financing Requirements

Investors must provide documentation required to comply with UAE Anti-Money Laundering (AML) and Counter-Terrorist Financing (CTF) regulations.

All subscription monies must represent legitimate funds from lawful sources.

4. Investor Portfolio Number

Each Investor will be allocated a portfolio number. This number must be quoted in all communications with BGIOF regarding your investments.

2. Registration Details and Tax Information

1. Investor Information

Investors must provide accurate details, including full name, date of birth, nationality, and residency status.

2. Tax Residency

Investors must provide details of their country(ies) of tax residency and any applicable Tax Identification Numbers (TIN) if available.

US Persons are not eligible to invest. Investors declaring a non-US address represent that they are not a US Person and will remain so while holding Units in BGIOF.

3. Address Details

Correspondence addresses must be provided.

Only one correspondence address may be used unless otherwise approved by the Fund Manager.

3. Authorisation for Joint Accounts

Each joint holder acknowledges and agrees that all instructions, notices, requests, or authorisations in respect of the investment, including but not limited to subscriptions, redemptions, withdrawals, switches, changes to registration details, and amendments to bank account information, must be jointly given and duly authorised by all joint holders. BGIOF, the Fund Manager, and any appointed service provider shall not act upon any instruction received from fewer than all joint holders, and shall incur no liability for refusing or delaying the execution of any instruction that is not jointly authorised in accordance with this requirement.

This authorization remains in force until written notice of termination is received by the Fund Manager

4. Investment Details

1. Subscription Amounts

Indicate the amount to invest, the relevant Fund/Class, ISIN (if applicable), and currency.

Payments in foreign currencies will be converted into the Fund's base currency at the Investor's expense and risk.

2. Minimum Investments

Minimum initial investment per Fund Class: USD 5,000 (or equivalent).

Minimum subsequent investment per Fund Class: USD 2,500 (or equivalent).

3. Representations and Indemnity

By applying, Investors represent that all declarations made are accurate and agree to indemnify BGIOF and the Fund Manager against any losses incurred by acting in good faith upon such declarations.

The Fund Manager reserves the right to reject applications that do not meet minimum requirements or redeem Units in the case of holdings below minimum amounts or non-compliance with eligibility criteria.

5. Subscription Price and Charges

Units will be issued at the subscription price as per the Prospectus and Supplements
Applicable fee will be applied as set out in the relevant Supplement.

6. Payment Instructions

1. Payment Method

Payments must be made from a bank account in the name of the Investor. Third-party payments are not accepted.

Funds must be received by the cut-off time specified in the Prospectus.

2. Bank Account Details

Currency	USD	AED
A/C Number	7622246874912	7622246874913
IBAN Number	AE930260007622246874912	AE660260007622246874913
A/C Name	Barjeel Geojit Financial Services LLC - Client Money Account-BGIOF	Barjeel Geojit Financial Services LLC - Client Money Account-BGIOF
Branch Name	Bank Street Branch, Burjuman, Bur Dubai	Bank Street Branch, Burjuman, Bur Dubai
Bank City	Dubai	Dubai
SWIFT Code	EBILAEAD	EBILAEAD

3.676 is the Exchange rate if money is transferred from AED bank account

Investors may be required to provide additional information if the bank account is outside the UAE.

7. Key Information Document (KID)

Investors must read the KID /Prospectus prior to investing.

Access to the Key Information Document(s) (KID) is made available electronically and may be accessed at www.barjeel.ae Postal copies are available on request.

8. Anti-Money Laundering and Compliance

Investors must submit valid identification documents (Emirates ID, Passport) for verification.

The Fund Manager may request additional documentation, including source of funds or origin of wealth, in higher-risk scenarios or to comply with UAE regulations.

Failure to provide requested documents may delay or suspend the processing of subscriptions, redemptions, or distributions.

9. Data Protection

Personal data provided will be used for account administration, compliance, and regulatory reporting.

Data may be disclosed to regulatory authorities, auditors, or other appointed service providers in the UAE.

Data will not be retained longer than necessary, subject to legal minimum retention periods.

10. Financial Adviser Details

If using a financial adviser, details must be completed, including signature, company stamp, or contact information, to facilitate processing.

11. Investor Responsibilities

Investors are responsible for monitoring their investments and understanding all risks, including liquidity, exit load, and potential investment loss.

Investors confirm that all transactions are initiated by them (reverse solicitation) and that BGIOF or Barjeel Geojit have not solicited the investment.

12. Submission

Complete and sign the application form and submit it to the address provided in the form.

Include all required identification and supporting documents for AML/CTF compliance.

Investors will be allocated a personal client reference number, which must be used for all future correspondence and payments.